

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 12781/291184

First Inventor Sockman, Harry Gene et al.

Title METHOD AND APPARATUS FOR APPLYING GLAZE OR OTHER CONFECTIONARY COATINGS TO FOOD PRODUCTS

Express Mail Label No. EV 315184992 US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: <small>Commissioner for Patents Mail Stop Patent Application P.O. Box 1450 Alexandria VA 22313-1450</small>	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>		8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>	
3. <input checked="" type="checkbox"/> Specification [Total Pages 45] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets 8] 5. Oath or Declaration [Total Sheets 1]		ACCOMPANYING APPLICATIONS PARTS	
a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small>		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
i. <input checked="" type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small>		10. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		11. <input type="checkbox"/> English Translation Document (if applicable)	
		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
		13. <input type="checkbox"/> Preliminary Amendment	
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	
		16. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
		17. <input checked="" type="checkbox"/> Other: <u>Transmittal of Application under 37 CFR 1.41c; check for \$1,986.00 for filing fee</u>	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____ / _____

Prior application information: Examiner _____

Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small>		or <input checked="" type="checkbox"/> Correspondence address below			
Name	Cynthia B. Rothschild				
Address	Kilpatrick Stockton LLP				
	1001 West Fourth Street				
City	Winston-Salem	State	NC	Zip Code	27101
Country	USA	Telephone	(336) 607-7300		Fax 336-734-2610

Name (Print/Type)	Cynthia B. Rothschild	Registration No. (Attorney/Agent)	47,040
Signature			Date August 29, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

1986

Complete If Known	
Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Sockman, Harry Gene et al.
Examiner Name	To be assigned
Art Unit	To be assigned
Attorney Docket No.	12781/291184

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)				
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account				3. ADDITIONAL FEES				
Deposit Account Number: 16-1435 Deposit Account Name: Kilpatrick Stockton LLP				Large Entity	Small Entity			
The Director is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				Fee Code	Fee (\$)	Fee Code	Fee (\$)	
				1051	130	2051	65	Surcharge - late filing fee or oath
				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.
				1053	130	1053	130	Non-English specification
				1812	2,520	1812	2,520	For filing a request for reexamination
				1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
				1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
				1251	110	2251	55	Extension for reply within first month
				1252	410	2252	205	Extension for reply within second month
				1253	930	2253	465	Extension for reply within third month
				1254	1,450	2254	725	Extension for reply within fourth month
				1255	1,970	2255	985	Extension for reply within fifth month
				1401	320	2401	160	Notice of Appeal
				1402	320	2402	160	Filing a brief in support of an appeal
				1403	280	2403	140	Request for oral hearing
				1451	1,510	1451	1,510	Petition to institute a public use proceeding
				1452	110	2452	55	Petition to revive - unavoidable
				1453	1,300	2453	650	Petition to revive - unintentional
				1501	1,300	2501	650	Utility issue fee (or reissue)
				1502	470	2502	235	Design issue fee
				1503	630	2503	315	Plant issue fee
				1460	130	1460	130	Petitions to the Commissioner
				1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)
				1806	180	1806	180	Submission of Information Disclosure Stmt
				8021	40	8021	40	Recording each patent assignment per property (times number of properties)
				1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))
				1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))
				1801	750	2801	375	Request for Continued Examination (RCE)
				1802	900	1802	900	Request for expedited examination of a design application
				Other fee (specify) _____				
				*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$0)		

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)
Name (Print/Type)	Cynthia B. Rothschild	Registration No. Attorney/Agent)	47,040	Telephone	(336) 607-7300	
Signature				Date	8/29/03	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number: EV 315184992 US
Date of Deposit: August 29, 2003
Type of Document(s): Utility Patent Application Transmittal;
Fee Transmittal for FY 2003 (*in duplicate*);
Specification (45 pages);
Eight (8) pages of drawings;
Transmittal of Application Under 37 CFR 1.41(c);
Nonpublication Request Under
35 USC 122(b)(2)(B)(i)
Check# 340262 for \$1,986 filing fee; and
Return postcard
Serial No.: Unassigned
Date Filed: Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Carol McCabe

Carol McCabe

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Harry Gene SOCKMAN, William Emory TSCHOPP
and Guy Matthew SPIVEY

Serial No. : Unassigned

Filing Date : Herewith

For : METHOD AND APPARATUS FOR APPLYING
GLAZE OR OTHER CONFECTIONARY COATINGS
TO FOOD PRODUCTS

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF APPLICATION UNDER 37 CFR 1.41(c)

Sir:

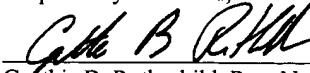
The undersigned attorney hereby makes application for U.S. Letters Patent on behalf of the following Applicants, whose addresses and citizenships are as follows:

Inventor	Address	Citizenship
Harry Gene Sockman	4105 Ryan Way Winston-Salem, NC 27106	USA
William Emory Tschopp	7079 Shallowford Road Lewisville, NC 27023	USA
Guy Matthew Spivey	675 Yadkin College Road Lexington, NC 27295	USA

Please address all correspondence and telephone calls in connection with this application to:

Cynthia B. Rothschild, Esq.
Kilpatrick Stockton LLP
1001 West Fourth Street
Winston-Salem, NC 27101
(336) 607-7300 (phone)
(336) 734-2610 (facsimile)

Respectfully submitted,



Cynthia B. Rothschild, Reg. No. 47,040

Date: 8/29/03
Kilpatrick Stockton LLP
1001 West Fourth Street
Winston-Salem, NC 27101
(336) 607-7300

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	SOCKMAN, Harry Gene et al.
Title	METHOD AND APPARATUS FOR APPLYING GLAZE OR OTHER CONFECTIONARY COATINGS TO FOOD PRODUCTS
Atty Docket Number	12781-291184

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 29, 2003

Date



Signature

(336) 607-7300

Telephone number

Cynthia B. Rothschild

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.